

UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

09/554,960

TWO EMBARCADERO CENTER

SAN FRANCISCO, CA 94111

TOWENSEND AND TOWNSEND AND CREW

DAVID C KASLOW

15280-3421PC

INTERNATIONAL APPLICATION NO.

PCT/US98/25742

I.A. FILING DATE

PRIORITY DATE

04/12/1998

12/05/1997

CONFIRMATION NO. 6829
371 FORMALITIES LETTER
OC000000010868015

Date Mailed: 09/16/2003

KEVIN L BASTIAN

8TH FLOOR

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 02/12/2003
- Copy of the International Search Report filed on 02/12/2003
- Copy of IPE Report filed on 02/12/2003
- Oath or Declaration filed on 02/12/2003
- Request for Immediate Examination filed on 02/12/2003
- Copy of references cited in ISR filed on 02/12/2003
- U.S. Basic National Fees filed on 02/12/2003

Applicant's response filed 02/12/2003 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 06/23/2000 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Additionally the following defects have been observed:

 Additional claim fees of \$298 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

\$ 4 C.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$428 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$298
 - \$18 for 31 total claims over 20.
 - \$280 for multiple dependant claims.

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c) and PCT Rule 5.2(a).
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 305-3736

PART 2 - OFFICE COPY

LIC ADDITION TO THE STREET		
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/554,960	PCT/US98/25742	15280-3421PC

FORM PCT/DO/EO/916 (371 Formalities Notice)



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APPLICATION NUMBER

FILING OR 371(c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/554,960

DAVID C KASLOW

15280-3421PC

KEVIN L BASTIAN TOWENSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER 8TH FLOOR SAN FRANCISCO, CA 94111 CONFIRMATION NO. 6829
WITHDRAWAL NOTICE
**OC000000010866061*

Date Mailed: 09/16/2003

WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice of Abandonment mailed on 06/01/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. The Office regrets any inconvenience the error may have caused.

A copy of this notice MUST be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY